

PORTRAIT

Doña Marina,
Between Two Worlds⁴

In her brief life, she was known variously as Malinal, Doña Marina, and La Malinche. By whatever name, she was a woman who experienced the encounter of the Old World and the New in particularly intimate ways, even as she became a bridge between them. Born around 1505, Malinal was the daughter of an elite and cultured family in the borderlands between the Mayan and Aztec cultures in what is now southern Mexico. Two dramatic events decisively shaped her life. The first occurred when her father died and her mother remarried, bearing a son to her new husband. To protect this boy's inheritance, Malinal's family sold her into slavery. Eventually, she came into the possession of a Maya chieftain in Tobasco on the Gulf of Mexico.



Doña Marina (left) translating for Cortés. (Biblioteca Nacional Madrid/Gianni Dagli Orti/The Art Archive/Art Resource, NY)

Here her second life-changing event took place in March 1519, when the Spanish conquistador Hernán Cortés landed his troops and inflicted a sharp military defeat on Tobasco. In the negotiations that followed, Tobasco authorities rendered lavish gifts to the Spanish,

The Great Dying

Whatever combination of factors explains the European acquisition of their empires in the Americas, there is no doubting their global significance. Chief among those consequences was the demographic collapse of Native American societies. Although precise figures remain the subject of much debate, scholars generally agree that the pre-Columbian population of the Western Hemisphere was substantial, perhaps 60 to 80 million. The greatest concentrations of people lived in the Mesoamerican and Andean zones, which were dominated by the Aztec and Inca empires. Long isolation from the Afro-Eurasian world and the lack of most domesticated animals meant the absence of acquired immunities to Old World diseases such as smallpox, measles, typhus, influenza, malaria, and yellow fever.

Therefore, when they came into contact with these European and African diseases, Native American peoples died in appalling numbers, in many cases up to 90 percent

including twenty women, one of whom was Malinal. Described by Bernal Díaz, one of Cortés's associates, as "good-looking, intelligent, and self-assured," the teenage Malinal soon found herself in service to Cortés himself. Since Spanish men were not supposed to touch non-Christian women, these newcomers were distributed among his officers, quickly baptized, and given Christian names. Thus Malinal became Doña Marina.

With a ready ear for languages and already fluent in Maya and Nahuatl, the language of the Aztecs, Doña Marina soon picked up Spanish and quickly became indispensable to Cortés as an interpreter, cross-cultural broker, and strategist. She accompanied him on his march inland to the Aztec capital, Tenochtitlán, and on several occasions her language skills and cultural awareness allowed her to uncover spies and plots that might well have seriously impeded Cortés's defeat of the Aztec empire. Díaz reported that "Doña Marina, who understood full well what was happening, told [Cortés] what was going on." In the Aztec

capital, where Cortés took the Emperor Moctezuma captive, it fell to Doña Marina to persuade him to accept this humiliating position and surrender his wealth to the Spanish. Even Cortés, who was never very gracious with his praise for her, acknowledged that "after God, we owe this conquest of New Spain to Doña Marina." Aztecs soon came to see this young woman as the voice of Cortés, referring to her as La Malinche, a Spanish approximation of her original name. So paired did Cortés and La Malinche become in Aztec thinking that Cortés himself was often called "Malinche." (See Visual Source 13.2, p. 663, for an Aztec image of La Malinche.)

More than an interpreter for Cortés, Doña Marina also became his mistress and bore him a son. But after the initial conquest of Mexico was complete and he no longer needed her skills, Cortés married Doña Marina off to another Spanish conquistador, Juan Jaramillo, with whom she lived until her death, probably around 1530. Cortés did provide her with several pieces of land, one of which, ironically, had belonged to Moctezuma. Her son, however, was taken from her and raised in Spain.

In 1523, Doña Marina performed one final service for Cortés, accompanying him on a mission to Honduras to suppress a rebellion. There her personal life seemed to come full circle, for near her hometown, she encountered her mother, who had sold her into slavery, and her half-brother.

of the population. The densely settled peoples of Caribbean islands virtually vanished within fifty years of Columbus's arrival. Central Mexico, with a population estimated at some 10 to 20 million, declined to about 1 million by 1650. A native Nahuatl (nah-watl) account depicted the social breakdown that accompanied the smallpox pandemic: "A great many died from this plague, and many others died of hunger. They could not get up to search for food, and everyone else was too sick to care for them, so they starved to death in their beds."⁵

The situation was similar in North America. A Dutch observer in New Netherland (later New York) reported in 1656 that "the Indians . . . affirm that before the arrival of the Christians, and before the small pox broke out amongst them, they were ten times as numerous as they are now, and that their population had been melted down by this disease, whereof nine-tenths of them have died."⁶ To Governor Bradford of Plymouth colony (in present-day Massachusetts), such conditions represented the "good hand of God" at work, "sweeping away great multitudes of the

Díaz reported that they "were very much afraid of Doña Marina," thinking that they would surely be put to death by their now powerful and well-connected offspring. But Doña Marina quickly reassured and forgave them, while granting them "many golden jewels and some clothes."

In the centuries since her death, Doña Marina has been highly controversial. For much of the colonial era, she was viewed positively as an ally of the Spanish. But after independence, some came to see her as a traitor to her own people, shunning her heritage and siding with the invaders. Still others have considered her as the mother of Mexico's mixed-race or mestizo culture. Should she be understood primarily as a victim or as a skillful survivor negotiating hard choices under difficult circumstances?

Whatever the judgments of later generations, Doña Marina herself seems to have made a clear choice to cast her lot with the Europeans. Even when Cortés had given her to another man, Doña Marina expressed no regret. According to Díaz, she declared, "Even if they were to make me mistress of all the provinces of New Spain, I would refuse the honor, for I would rather serve my husband and Cortés than anything else in the world."

Questions: How might you define the significance of Doña Marina's life? In what larger contexts might that life find a place?

PORTRAIT

Ayuba Suleiman Diallo,
To Slavery and Back

Ayuba Suleiman Diallo. (William Hoare of Bath, R.A. Portrait of Ayuba Suleiman Diallo, called Job ben Solomon (1701–1773), half-length, in African dress, with the Qu'ran around his neck, in a feigned oval, 1733. Oil on canvas, 76.2 x 64.2 cm. OM.762. Orientalist Museum, Doha)

February 1730 found Ayuba Suleiman Diallo, less than thirty years of age, living between the Gambia and Senegal rivers in West Africa among the Fulbe-speaking people.³⁸ Like his father, a prominent Islamic scholar and teacher, Ayuba was a Muslim, literate in Arabic, a prayer leader in the local mosque, and a *hafiz*, someone who had memorized the entire Quran. He was also husband to two wives and father to four children. Now his father sent the young man on an errand. He was to take several of their many slaves to a location some 200 miles away, where an English trading ship had anchored, and exchange them for paper and other goods. The paper was especially important, for his father's income depended on inscribing passages from the Quran on small slips of paper and selling them as protective charms.

To put it mildly, things did not go as planned. Unable to reach an agreement with the English merchant Captain

Stephen Pike, Ayuba traveled farther south and traded his slaves for a number of cows in the land of the Mandinke people. Well beyond the safety of his own country, he was in dangerous territory. As he and his companions stopped to rest on the journey home, they were seized, their heads were shaved, and they were sold as slaves to the very same Captain Pike. Although Ayuba was able to send a message to his father asking to be ransomed in exchange for some of their slaves, the ship sailed before a reply was received. And so Ayuba, along with 168 other slaves, both men and women, headed for the British American colony of Maryland, where 150 of them arrived alive. Sold to a local planter, Ayuba was immediately sent to the tobacco fields, but when he became ill from this heavy and unaccustomed work, his owner assigned him the less arduous and more familiar task of

Stephen Pike, Ayuba traveled farther south and traded his slaves for a number of cows in the land of the Mandinke people. Well beyond the safety of his own country, he was in dangerous territory. As he and his companions stopped to rest on the journey home, they were seized, their heads were shaved, and they were sold as slaves to the very same Captain Pike. Although Ayuba was able to send a message to his father asking to be ransomed in exchange for some of their slaves, the ship sailed before a reply was received. And so Ayuba, along with 168 other slaves, both men and women, headed for the British American colony of Maryland, where 150 of them arrived alive.

Sold to a local planter, Ayuba was immediately sent to the tobacco fields, but when he became

ill from this heavy and unaccustomed work, his owner assigned him the less arduous and more familiar task of

tending cattle. Alone with the cattle, Ayuba was able to withdraw into a nearby forest to pray, but he was spotted by a young white boy who mocked him and threw dirt in his face. Sometime later, no doubt in despair, Ayuba ran away, but he was soon captured and housed in the county jail, located in the back room of a tavern. There he became something of a local curiosity and attracted the attention of a lawyer named Thomas Bluett. When Ayuba refused wine, wrote a few lines in Arabic, and mentioned “Allah” and “Muhammad,” Bluett realized that he was “no common slave.” Locating an old slave who could translate for him, Bluett was fascinated by Ayuba's story, and he initiated a process that took both of them to England in 1733, where philanthropists purchased Ayuba's freedom.

Ayuba's reception in England was amazing. Now fluent in English, Ayuba was received by the English royal family and various members of the nobility, hosted by leading scholars, and entertained by wealthy merchants, eager to tap his knowledge of economic conditions in West Africa. The prominent artist William Hoare painted his portrait, complete with a small Quran hanging from his neck.

In 1734, he finally set off for home, loaded with gifts from his English friends. There he encountered, quite by chance, the same Mandinke men who had sold him only

a few years before. Francis Moore, a European trader accompanying Ayuba, wrote that he “fell into a most terrible passion and was for killing them” and was restrained from doing so only with difficulty. He arrived in his hometown to find that his father had recently died. His wives and children, however, were all alive and welcomed him warmly. One of them had remarried, believing him gone forever, but her new husband readily gave way, and Ayuba resumed his place of prominence in his own community until his death in 1773.

He also resumed his life as a slave owner. Selling some of the gifts he had acquired in England, he purchased a woman slave and two horses, soon after his arrival back in West Africa. According to Moore, he “spoke always very handsomely of the English,” and he continued his association with the Royal African Company, the primary English trading firm in West Africa, in their rivalry with French traders.³⁹ The last mention of Ayuba in the records of that company noted that he was seeking compensation for the loss of two slaves and a watch, probably the one given him by the English Queen Caroline.

Questions: What might you infer about Ayuba's own view of slavery and the slave trade? What insights or questions about the slave trade does his remarkable story suggest?

Reflections: Economic Globalization—Then and Now

The study of history reminds us of two quite contradictory truths. One is that our lives in the present bear remarkable similarities to those of people long ago. We are perhaps not so unique as we might think. The other is that our lives are very different from theirs and that things have changed substantially. This chapter about global commerce—long-distance trade in spices and textiles, silver and gold, beaver pelts and deerskins, slaves and sugar—provides both perspectives.

If we are accustomed to thinking about globalization as a product of the late twentieth century, early modern world history provides a corrective. Those three centuries reveal much that is familiar to people of the twenty-first century—the global circulation of goods; an international currency; production for a world market; the

growing economic role of the West on the global stage; private enterprise, such as the British and Dutch East India companies, operating on a world scale; national governments eager to support their merchants in a highly competitive environment. By the eighteenth century, many Europeans dined from Chinese porcelain dishes called “china,” wore Indian-made cotton textiles, and drank chocolate from Mexico, tea from China, and coffee from Yemen while sweetening these beverages with sugar from the Caribbean or Brazil. The millions who worked to produce these goods, whether slave or free, were operating in a world economy. Some industries were thoroughly international. New England rum producers, for example, depended on molasses imported from the Caribbean, while the West Indian sugar industry used African labor and European equipment to produce for a global market.

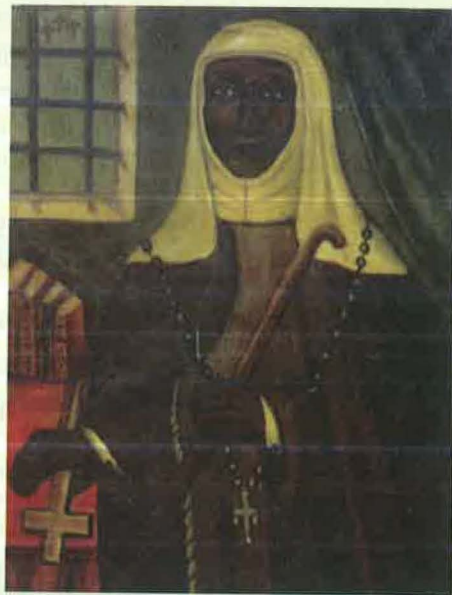
Nonetheless, early modern economic globalization was a far cry from that of the twentieth century. Most obvious perhaps were scale and speed. By 2000, immensely

PORTRAIT

Úrsula de Jesús, An Afro-Peruvian Slave and Christian Visionary

Úrsula de Jesús was born in the prosperous Spanish colonial city of Lima, Peru, in 1606, the daughter of slave mother. Thus she entered life at the lowest rung of Spanish colonial society. But among enslaved people, Úrsula was fortunate. Her mother's owner was a wealthy aristocratic woman, and at age eight, Úrsula was sent to live in the home of another elite woman with a reputation for piety and religious visions. Five years later, Úrsula accompanied a third woman into the Convent of Santa Clara, where she spent the rest of her life. There Úrsula found a place for herself in the world of colonial Peru and Latin American Christianity—but not easily or immediately.⁷

For the next quarter of a century, Úrsula was one of more than a hundred slaves in the convent, where she attended to the personal needs of her mistress and participated in communal labor—cooking, cleaning, and attending the sick. In the convent, as in the larger society, Úrsula



Úrsula de Jesús.
(Courtesy of Nancy van Deusen)

was at the bottom of the social ladder as nuns, novices, and *doñadas* (religious laywomen) all enjoyed a higher status. But the wealth of her mistress or perhaps her own day labor allowed her to dress well and to elevate herself above common slaves. She later noted that she went about “beautifully adorned from head to toe. . . . I used to wear fancy clothes and parade about the choir.”

The year 1642 marked a dramatic turning point in Úrsula's life, when she almost fell into a deep well. Crediting her deliverance from certain death to the Virgin of Carmen, Úrsula turned decisively away from her earlier vain and self-centered ways and embraced an ever-deepening spiri-

tuality. She sold her lovely clothes, devoted every spare moment to prayer, and sought out the most onerous tasks such as caring for contagious patients and washing soiled garments. She took to whipping herself twice daily, wearing a coarse and painful hair shirt, and placing a crown of

in central Peru in the 1560s, known as Taki Onqoy (dancing sickness). Possessed by the spirits of local gods, or *huacas*, traveling dancers and teachers predicted that an alliance of Andean deities would soon overcome the Christian God, inflict the intruding Europeans with the same diseases that they had brought to the Americas, and restore the world of the Andes to an imagined earlier harmony. They called on native peoples to cut off all contact with the Spanish, to reject Christian worship, and to return to traditional practices. “The world has turned about,” one member declared, “and this time God and the Spaniards [will be] defeated and all the Spaniards killed and their cities drowned; and the sea will rise and overwhelm them, so that there will remain no memory of them.”⁸

More common than such frontal attacks on Christianity, which colonial authorities quickly smashed, were efforts at blending two religious traditions, reinterpreting Christian practices within an Andean framework, and incorporating local elements into an emerging Andean Christianity. Even female dancers in the Taki Onqoy move-

ments sometimes took the names of Christian saints, seeking to appropriate for themselves the religious power of Christian figures. Within Andean Christian communities, women might offer the blood of a llama to strengthen a village church or make a cloth covering for the Virgin Mary and a shirt for an image of a huaca with the same material. Although the state cults of the Incas faded away, missionary attacks did not succeed in eliminating the influence of local huacas. Images and holy sites might be destroyed, but the souls of the huacas remained, and their representatives gained prestige. One resilient Andean resident inquired of a Jesuit missionary: “Father, are you tired of taking our idols from us? Take away that mountain if you can, since that is the God I worship.”⁹ (See Visual Source 15.3, p. 767, for an illustration of the blending of Andean religious symbols and the new Christian message.)

In Mexico as well, an immigrant Christianity was assimilated into patterns of local culture. Parishes were organized largely around precolonial towns or regions. Churches built on or near the sites of old temples became the focus of community

thorns beneath her hair. In Catholic religious thinking of the time, such “mortification” of the body served to enhance identification with Jesus's suffering.

Úrsula's new religious fervor incurred the displeasure of her mistress, who felt neglected by her slave. By 1645, a deeply unhappy Úrsula determined to leave the convent and find a new owner. Then one of the nuns, hoping to retain her pious services, purchased Úrsula's freedom. Nonetheless, Úrsula chose to stay in the convent as a *doñada*. Doing so represented a modest elevation in her social status, an opportunity to pursue her spiritual life with fewer restrictions, and a measure of social and economic security.

Still, she continued with the same exhausting tasks she had as a slave and complained frequently about them. “I was up to my ears with cooking and other things,” she confided to her diary, “desiring only to be in the mountains where there are no people.” Even as she struggled with the restrictions of her position in the convent, Úrsula enhanced her reputation as a “servant of God,” a woman of extraordinary devotion and humility, and as a visionary and a mystic.

In her diary, Úrsula recounted numerous direct encounters with God, Jesus, Mary, and with dead souls seeking her intervention to shorten their time in the purifying fires of purgatory. These visions frequently reflected the ten-

sions of class, race, and position within the convent and in the larger society. Several priests, suffering in purgatory for their sexual sins, luxurious living, and mistreatment of slaves, appealed to Úrsula. So too did nuns who had been lax in their spiritual practices or placed their business interests above their religious duties. Úrsula had a special concern for the female slaves and servants who asked for her intercession. One feared becoming an “orphan” in purgatory with no one to remember her. Another confessed to a lesbian love affair with a nun. Although Úrsula once questioned “whether black women went to heaven,” it was later revealed to her as an abode of “great harmony,” but not of social equality, for “everyone had their place . . . in accordance with their standing and the obligations of their class.” By the end of her life, however, Úrsula was able to affirm the spiritual equality of all. “In memory, understanding, and will,” she declared, “they [blacks and whites] are all one.”

When Úrsula died in 1666, a prominent nun confirmed that she had entered heaven directly, with no intervening time in purgatory. Her funeral was attended by many high officials of both state and church, and she was buried beneath the chapel of the convent she had served.

Question: To what extent did Úrsula shape her own life and in what way was it shaped by larger historical forces?

PORTRAIT

Kartini, Feminism and Nationalism in Java

The ideas of the European Enlightenment and the Atlantic revolutions resonated deeply in the life of a remarkable young Javanese woman named Kartini during the late nineteenth century when her country was part of the Dutch East Indies (now Indonesia).²⁶ Born in 1879 into a large aristocratic Javanese family, young Kartini attended a Dutch elementary school where she learned the Dutch language and observed the relative freedom of her European classmates, in sharp contrast to the constraints and ritualized interactions of her own family. At the age of twelve, in keeping with Javanese Muslim custom, Kartini was abruptly removed from her school. For the next four years, she never left her home.

Through her father, a high official in the Dutch colonial administration who much admired Western education, Kartini still had access to Dutch books, and later, she was tutored by several Europeans, including one woman with strong socialist and feminist leanings. She also read widely on her own and began an extensive correspon-



Kartini (Royal Tropical Institute)

dence, largely with Dutch friends in the Netherlands, which lasted until her death. By the time she was twenty, Kartini had acquired an impressive Western education and a network of relationships with prominent Europeans both in the Netherlands and in Java.

From her letters, we learn something of Kartini's thinking. In light of her exposure to Europeans and European thought, she found the absolute subordination of Javanese women completely unacceptable. The seclusion of girls, the total separation of the sexes, the absence of educational opportunities—all this drove her almost to despair. "Are fine women of no use to civilization?"

she asked. But it was the prospect of a traditional high-class Javanese marriage that she found most appalling. Her husband would be "a stranger, an unknown man, whom my parents would choose for me . . . without my knowledge." During the wedding ceremony, she would be expected to prostrate herself before the bridegroom and kiss his feet as a sign of her future submission. Even then, she would be only one of several wives. "Do you understand

Not surprisingly, feminism provoked bitter opposition. Some academic and medical experts argued that the strains of education and life in the world outside the home would cause serious reproductive damage and as a consequence depopulate the nation. Thus feminists were viewed as selfish, willing to sacrifice the family or even the nation while pursuing their individual goals. Some saw suffragists, like Jews and socialists, as "a foreign body in our national life." Never before in any society had such a passionate and public debate about the position of women erupted. It was a novel feature of Western historical experience in the aftermath of the Atlantic revolutions.

Like nationalism, a concern with women's rights spread beyond Western Europe and the United States, though less widely. An overtly feminist newspaper was established in Brazil in 1852, and an independent school for girls was founded in Mexico in 1869. A handful of Japanese women and men, including the empress Haruko,

now," she wrote to a Dutch confidante, "the deep aversion I have for marriage?"

Kartini was equally outraged by particular features of Dutch colonial rule, especially its racism. Conscious of her membership in a "despised brown race," she deplored the need for "creeping in the dust" before Europeans. Javanese generally were not supposed to speak Dutch with their colonial masters, as if "Dutch is too beautiful to be spoken by a brown mouth." And yet, for Kartini, it was Dutch education and its universal Enlightenment values—"freedom, equality, fraternity," as she put it, echoing the slogan of the French Revolution—that would lead to Javanese emancipation from both Dutch and Javanese oppression. "Europe will teach us to be truly free," she wrote.

Nonetheless, Kartini openly embraced much of her own culture—its art, music, and poetry; its regard for the dead; its hospitality to the poor; its spiritual depth—and she certainly did not seek to transform Javanese into "half-Europeans." But she did believe that "contact with another civilization" and modern European education in particular would enable Javanese "to develop the fine qualities that are peculiar to their race." "Emancipation is in the air," she declared in early 1901.

Kartini's fondest hope was to contribute to that emancipation by studying in the Netherlands and then opening a school for girls in Java. But these grand dreams were

thwarted by opposition from her own family, from Javanese officials, and from much of the Dutch colonial bureaucracy. Java's leading newspaper denounced her intentions as "outrageous," and local gossip had it that she simply wanted to marry a European and become a Europeanized woman. A backup plan to study in the colonial capital of Batavia likewise came to naught with a sudden announcement in mid-1903 that her father had arranged for her to be married to a much older and polygamous man of her social class. Kartini was devastated. "My crown has fallen from my head. My golden illusions of purity and chastity lie shattered in the dust. . . . Now I am nothing more than all the rest."²⁷

Despite Kartini's feeling that she was "done with all personal happiness," she determined to make her marriage a model for the future, actually meeting her husband before the wedding and extracting from him a written promise that she could continue with her plans to create a school for girls. But she soon became pregnant, and four days after the birth of her son in 1904, she died at the age of twenty-five. As her writings subsequently became known in Indonesia, Kartini came to be regarded as a pioneer of both feminist and nationalist thinking, and a number of "Kartini schools" were established in her memory.

Question: In what ways was Kartini's life shaped by living at the intersection of Javanese and European worlds?

raised issues about marriage, family planning, and especially education as the country began its modernizing process after 1868, but the state soon cracked down firmly, forbidding women from joining political parties or even attending political meetings. In Russia, the most radical feminist activists operated within socialist or anarchist circles, targeting the oppressive tsarist regime. Within the Islamic world and in China, some modernists came to believe that education and a higher status for women strengthened the nation in its struggles for development and independence and therefore deserved support. (See Portrait of Kartini, above, for an example from the Dutch East Indies.) Huda Sharawi, founder of the first feminist organization in Egypt, returned to Cairo in 1923 from an international conference in Italy and threw her veil into the sea. Many upper-class Egyptian women soon followed her example.

PORTRAIT

Ellen Johnston,
Factory Worker and Poet

Born around 1835 to a working-class family in an industrializing Scotland, Ellen Johnston worked in a variety of textile mills throughout her life, lived as a single mother, and most unusually became a published poet with a modest local reputation. Through her brief autobiography and her poetry, we can catch a glimpse of one working-class woman's experience during Britain's Industrial Revolution.²¹

Shortly after her birth, Ellen's father, a stonemason, decided to emigrate to America. Her mother, however, refused to join him and returned to her father's house with her young daughter, where she supported her small family as a dressmaker. Ellen remembered those early years with pleasure, wandering the

area with her doll and her dog. When she was eight, her mother remarried an abusive man, who forced young Ellen into factory work a few years later. "No language can paint the suffering," she wrote about her stepfather, "which I afterwards endured from my tormentor." She repeatedly ran away from his home and entered into a love



A young British woolen factory worker in a setting similar to that in which Ellen Johnston labored. (*Science and Society/Superstock*)

affair that left her a single mother at age seventeen. Nonetheless, in a time of expanding literacy, Ellen read widely, calling herself a "self-taught scholar." She especially liked to read "love adventures" and developed a romantic image of herself as a "heroine of the modern style." She also began to write poetry for the "penny press," inexpensive newspapers of the region.

Ellen's troubled home life made her resistant to the emerging ideology of domesticity, which defined women's roles as tranquil homemakers, wives, and mothers, a view that was taking hold even within the working classes by the mid-nineteenth century. "Fallen women"—those who gave birth outside of marriage—were consid-

ered beyond the confines of "true womanhood" and were generally expected to withdraw from public life in disgrace. Ellen Johnston, however, was unrepentant. "I did not . . . feel inclined to die," she wrote, "when I could no longer conceal what the world falsely calls a woman's shame." Descriptions of home life in her writing are al-

account of a young woman's factory experience as a girl and see the Portrait of Ellen Johnston above, for another view of factory work.) Long hours, low wages, and child labor were nothing new for the poor, but the routine and monotony of work, dictated by the factory whistle and the needs of machines, imposed novel and highly unwelcome conditions of labor. Also objectionable were the direct and constant supervision and the rules and fines aimed at enforcing work discipline. The ups and downs of a capitalist economy made industrial work insecure as well as onerous.

In the early decades of the nineteenth century, Britain's industrialists favored girls and young unmarried women as employees in the textile mills, for they were often willing to accept lower wages, while male owners believed them to be both docile and more suitable for repetitive tasks such as tending machines. A gendered hierarchy of labor emerged in these factories with men in supervisory and more

most always negative. Referring to her aunt's marriage to an alcoholic, she wrote: "Now the dark cup of sorrow embitters thy life / To a hard hearted drunkard, ah! thou art a wife."

Johnston supported herself and her daughter by working intermittently in the textile mills of industrial Scotland, occasionally withdrawing for health reasons or to write poetry that she signed as "the factory girl." Through her poetry, Johnston made clear her awareness of the inequalities and exploitation of industrial life, writing in one poem: "It is the puir [poor] man's hard-won toil that fills the rich man's purse . . . / What care the gentry if they're well, though all the poor would die." Another poem urged unionization for boat builders and boilermakers.

In response to industrial misery, however, Johnston did not advocate for socialism or revolutionary upheaval. Rather, she implicitly called on the "master" of the mill to behave in a benevolent fashion toward his employees and to create within the factory a sense of community. At times she recited her poetry at factory-organized gatherings, sometimes toasting the owner: "May he still have wealth; may we still have health / To remain his servants of toil."

On a personal level, this "factory girl" stood up for herself, at one point taking her foreman to court to recover a week's wages when she was fired without notice.

But it was within the factory, not the family, that Johnston found emotional and personal satisfaction. In the mills, she discovered camaraderie, an emotional and spiritual home, and a status higher than that of domestic labor, which was the lot of so many young working-class women. Celebrating one of the factories where she worked, Johnston proclaimed: "I would not leave thee, dear beloved place / A crown, a sceptre, or a throne to grace, / To be a queen—the nation's flag unfurl— / A thousand times I'd be a Factory Girl!"

Johnston had hoped to make her living as a poet and thus escape the poverty to which factory wages condemned her. She did receive occasional financial support from upper-class benefactors, including a small gift from Queen Victoria, and a published collection of her work appeared in 1867. She was, however, aware that both class and gender made it difficult for her to win acceptance among middle- and upper-class members of the literary establishment, a recognition expressed in her writing. "I am so small I cannot shine / Amidst the great that read my rhyme." In 1870, only a year after the publication of the second edition of her book of poetry, she had to apply for "poor relief," and in 1874, Ellen Johnston died in a Scottish poorhouse, not yet forty years of age.

Question: How would you describe Ellen Johnston's outlook on industrial Britain?

skilled positions, while women occupied the less skilled and "lighter" jobs that offered little opportunity for advancement. Nor were women welcome in the unions that eventually offered men some ability to shape the conditions under which they labored.

Thus, unlike their middle-class counterparts, many girls and young women of the laboring classes engaged in industrial work or found jobs as domestic servants for upper- and middle-class families to supplement meager family incomes. But after marriage, they too usually left outside paid employment because a man who could not support his wife was widely considered a failure. Within the home, however, many working-class women continued to earn money by taking in boarders, doing laundry, or sewing clothes in addition to the domestic and child-rearing responsibilities long assigned to women.

PORTRAIT

Wanjiku of Kenya: An Ordinary Woman in Extraordinary Times



Wanjiku. (Jean Davison)

Born in 1910 among the Gikuyu people of East Africa, Wanjiku witnessed almost the entire twentieth century.³⁰ Her life encompassed the dramatic intrusion of British colonialism, the coming of Christianity, the Mau Mau rebellion against European rule, the achievement of independence for Kenya in 1963, and the challenges of modernization in the decades that followed.

And yet, the first 30 years or more of Wanjiku's life were shaped far more by the customary patterns of rural Gikuyu culture than by the transformations of colonial rule. She grew up in her father's compound where her mother, three other wives, and more than a dozen children also lived. As a child, she began contributing to the household—fetching water, firewood, and vegetables—even as she learned the stories, riddles, and proverbs of Gikuyu folklore. At age fourteen, she had her ears pierced, thus achieving a “new stage of maturity.”

Far more important, however, was her “circumcision,” a procedure that involved the cutting of her genitals and the excision of the clitoris. For Wanjiku, as for virtually

all Gikuyu girls, this procedure was a prerequisite for becoming “a grown-up person” and eligible for marriage. Described as “buying maturity with pain,” the operation was, as Wanjiku later recalled, “like being slaughtered.” Circumcision also marked Wanjiku's entry into an age-set, a group of girls who had undergone this initiation into womanhood together. As adults they worked together in the fields, provided help and gifts at the birth of children, and protected one another from sexual abuse by men.

Now Wanjiku was also able to attend the evening dances where young men and women mingled. There she met her first husband, Wamai, who initiated the long process of negotiation between families and the payment of numerous goats and cows to the bride's father. Marriage, she found, “was a big change—learning about sex and my husband's habits.” Tragedy struck, however, when both her husband and her first child died. A few years later, Wanjiku married Kamau, the younger brother of her first husband, with whom she had three sons. Her second marriage, unlike the first, was accompanied by a

process of negotiation between families and the payment of numerous goats and cows to the bride's father. Marriage, she found, “was a big change—learning about sex and my husband's habits.” Tragedy struck, however, when both her husband and her first child died. A few years later, Wanjiku married Kamau, the younger brother of her first husband, with whom she had three sons. Her second marriage, unlike the first, was accompanied by a

clitoris and adjacent genital tissue as a part of initiation rites marking her coming-of-age.³¹ To the Gikuyu people, among whom it was widely practiced, it was a prerequisite for adult status and marriage. To missionaries, it was physically damaging to girls and brought “unnecessary attention . . . to the non-spiritual aspects of sex.” When missionaries in 1929 sought to enforce a ban on the practice among their African converts, outrage ensued. Thousands abandoned mission schools and churches, but they did not abandon Christianity or modern education. Rather they created a series of independent schools and churches in which they could practice their new faith and pursue their educational goals without missionary intrusion. Some recalled that the New Testament itself had declared that “circumcision is nothing and uncircum-

cision is nothing.” And so, wrote one angry convert to a local missionary, “Has God spoken to you this time and informed you that those who circumcise will not enter in to God's place? It is better for a European like you to leave off speaking about such things because you can make the Gospel to be evil spoken of.” (See the Portrait above for the experience of Wanjiku, a Gikuyu woman.)

During the 1940s and 1950s, the new realities of colonial life began to touch Wanjiku more personally than before. She and Kamau became Christians around 1940, and in 1948, Wanjiku “became saved.” “The saved ones,” she declared, “do not take tobacco nor drink. And they don't abuse anybody.” She then joined a church-based “Mother's Union,” vowing “never again to carry out old Gikuyu customs.” For Wanjiku, this group became a major source of social support that operated outside of traditional Gikuyu culture.

In 1952, Wanjiku found herself caught up in the Gikuyu-based Mau Mau rebellion against colonial rule. To separate the general population from the Mau Mau “freedom fighters” in the surrounding forests, the colonial government forced most Gikuyu to relocate into compact villages closely and brutally supervised by the Home Guard, local African police appointed by European authorities. Wanjiku and many others were required to dig a large trench around the village to keep the rebels out. “We were like prisoners,” Wanjiku reported. “If we started to drag behind working, we were beaten.” Caught between the opposing forces of the Home Guard and the Mau Mau fighters, Wanjiku lived in fear. “If you made a mistake with the Home Guard, you would die. If you made a mistake with the Mau Mau, you would die too.”

The British crushed the Mau Mau rebellion by 1956, but, by 1963 Kenya, like dozens of other African countries, had achieved its independence. Wanjiku remembered the day with exhilaration. “We spent the whole night singing and dancing. We were so happy because we would never be ruled by foreigners again. It also meant the end of the beatings.” It was perhaps the high point of Wanjiku's consciousness as a public person and a participant in a new nation.

In the decades following independence, Wanjiku experienced still further changes. She and her husband began to grow tea as a cash crop, something limited to European farmers for much of the colonial era. Kenya's new government tried to forbid female circumcision, and, as young people were increasingly drawn to the larger cities, older rural social patterns broke down. Boys and girls of different age-sets mingled far more freely than before. Educated young people felt superior and sometimes behaved disrespectfully to illiterate elders such as Wanjiku.

By the 1990s, Wanjiku was an old woman afflicted with arthritis and much diminished vision. She saw herself less as a Kenyan than as a Gikuyu. No longer able to farm, she counseled her children, looked after her grandchildren, and enjoyed recounting her life story to a visiting anthropologist.

Question: How does Wanjiku's life reflect both the continuities and changes of the twentieth century in African history?

As elsewhere, Christianity in Africa soon became Africanized. Within mission-based churches, many converts continued using protective charms and medicines and consulting local medicine men, all of which caused their missionary mentors to speak frequently of “backsliding.” Other converts continued to believe in their old gods and spirits but now deemed them evil and sought their destruction. Furthermore,

As elsewhere, Christianity in Africa soon became Africanized. Within mission-based churches, many converts continued using protective charms and medicines and consulting local medicine men, all of which caused their missionary mentors to speak frequently of “backsliding.” Other converts continued to believe in their old gods and spirits but now deemed them evil and sought their destruction. Furthermore,

Change

How and why did Hinduism emerge as a distinct religious tradition during the colonial era in India?

PORTRAIT

Lin Zexu, Confronting The Opium Trade⁸

When the Chinese emperor decided in 1838 on firm measures to suppress the opium trade, he selected Lin Zexu to enforce that policy. Born in 1785, Lin was the son of a rather poor but scholarly father, who had never achieved an official position. Lin, however, excelled academically, passing the highest level examinations in 1811 after two failed attempts, and then rising rapidly in the ranks of China's bureaucracy. In the process, he gained a reputation as a strict and honest official, immune to bribery, genuinely concerned with the welfare of the peasantry, and unafraid to confront the corruption and decadence of rich and poor alike.

And so in December of 1838, after some nineteen personal audiences with the emperor, Lin found himself in Canton, the center of the opium trade and the only Chinese city legally open to foreign merchants. He was facing the greatest challenge of his professional life. Undertaken with the best of inten-



Commissioner Lin Zexu. (From Alexander Murray, *Doings in China: Being the personal narrative of an officer engaged in the late Chinese Expedition, from the Recapture of Chusan in 1841, to the Peace of Nankin in 1842*, (London: Richard Bentley, New Burlington Street, Publisher in Ordinary to Her Majesty, 1843), pl. ii.)

tions, his actions propelled the country into a century of humiliating subservience to an industrializing Europe and forced growing numbers of Chinese to question their vaunted civilization.

In established Confucian fashion, Lin undertook his enormous task with a combination of moral appeals, reasoned argument, political pressure, and coercion, while hoping to avoid outright armed conflict. It was an approach that focused on both the demand and supply sides of the problem. In dealing with Chinese opium users, Lin emphasized the health hazards of the drug and demanded that everyone turn in their supplies of opium and the pipes used to smoke it. By mid-1839, he had confiscated some 50,000 pounds of the drug together with over 70,000 pipes and arrested some 1,700 dealers. Hundreds of local students were summoned to an assembly where they were invited to identify opium distributors and to suggest ways of dealing with the problem. Opium-using officials

became the target of investigations, and five-person teams were established to enforce the ban on opium smoking on one another.

Lin applied a similar mix of methods to the foreign suppliers of opium. A moralistic appeal to Queen Victoria argued that the articles the English imported from China—silk, tea, and rhubarb—were all beneficial. “By what right,” he asked, “do [the barbarians] use this poisonous drug to injure Chinese people?” He pointedly reminded Europeans that new regulations, applying to Chinese and foreigners alike, fixed the penalty for dealing in opium at “decapitation or strangling.” Then he demanded that foreign traders hand over their opium, and without compensation. When the merchants hesitated, Lin tightened the screws, ordering all Chinese employed by foreigners to leave their jobs and blockading the Europeans in their factories. After six weeks of negotiations, the Europeans capitulated, turning over some 3 million pounds of raw opium to Lin Zexu.

Disposing of the drug was an enormous task. Workers, stripped and searched daily to prevent looting, dug three huge trenches into which they placed the opium mixed with water, salt, and lime and then flushed the concoction into the sea. Lin offered a sacrifice to the Sea Spirit, apologizing for introducing this poison into its domain and “advising the Spirit to tell the creatures of the water to move away for a time.” He informed the emperor that throngs of local people flocked to witness the destruction of the opium. And foreigners too came to observe the

spectacle. According to Lin, they “do not dare to show any disrespect, and indeed I should judge from their attitudes that they have the decency to feel heartily ashamed.”

Had Lin been correct in his appraisal, history would have taken a very different turn. But neither Lin nor his superiors anticipated the response that these actions provoked from the British government. They were also largely unaware of the European industrial and military advances, which had decisively shifted the balance of power between China and the West. Arriving in 1840, a British military expedition quickly demonstrated its superiority and initiated the devastating Opium War that marked Lin's policies in Canton as a failure.

As a punishment for his unsatisfactory performance, the emperor sent Lin to a remote post in western China. Although his career rebounded somewhat after 1845, he died in 1850 while on the way to an appointment aimed at suppressing the Taiping Rebellion. While his reputation suffered in the nineteenth century, it recovered in the twentieth as an intensely nationalist China recalled his principled stand against Western imperialism.

Questions: How might Lin Zexu have handled his task differently or more successfully? Or had he been given an impossible mission?

women were essential for a strong Chinese nation. Recruiting students into the anti-Qing movement, she often dressed in male clothing. “My aim is to dress like a man,” she declared. “In China, men are strong and women are oppressed because they are supposed to be weak.”⁹ (See Document 19.3, pp. 962–63.) Thus was born the immensely powerful force of Chinese nationalism, directed alike against Western imperialists, the foreign Qing dynasty, and aspects of China's traditional culture.

The Qing dynasty response to these new pressures proved inadequate. A flurry of progressive imperial edicts in 1898, known as the Hundred Days of Reform, was soon squelched by conservative forces. More extensive reform in the early twentieth century, including the end of the old examination system and the promise of a national parliament, was a classic case of too little too late. In 1911, the ancient imperial

order that had governed China for two millennia collapsed, with only a modest nudge from organized revolutionaries. It was the end of a long era in China and the beginning of an immense struggle over the country's future.

The Ottoman Empire and the West in the Nineteenth Century

Like China, the Islamic world represented a highly successful civilization that felt little need to learn from the “infidels” or “barbarians” of the West until it collided with an expanding and aggressive Europe in the nineteenth century. Unlike China, though, Islamic civilization had been a near neighbor to Europe for 1,000 years. Its most

PORTRAIT

Etty Hillesum, Witness to the Holocaust



Etty Hillesum. (Collection Jewish Historical Museum, Amsterdam)

Not often can historians penetrate the inner worlds of the people they study. But in the letters and diary of Etty Hillesum, a young Dutch Jewish woman caught up in the Nazi occupation of her country during the early 1940s, we can catch a glimpse of her expanding interior life even as her external circumstances contracted sharply amid the unfolding of the Holocaust.¹⁶

Born in 1914, Etty was the daughter of a Dutch academic father and a Russian-born mother. She attended university, tutored students in the Russian language, and hoped to become a writer. The Nazi invasion of the Netherlands in May 1940 found Etty living in Amsterdam with five other people. There she experienced and described Nazi rule and its mounting impact on Jews. “More arrests, more terror, concentration camps; the arbitrary dragging off of fathers, sisters, brothers,” she wrote in June 1941. Soon Amsterdam’s Jews were required to wear identifying yellow stars and were forbidden from walking on certain streets, riding the tram, visiting particular shops,

or eating in cafes. Signs reading “no admittance to Jews” sprang up across the city.

Etty initially reacted to these events with hatred and depression. She felt “beside myself with anger, cursing and swearing at the Germans,” and was even upset with a German woman living in her house who had no connections whatever with the Nazis. “They are out to destroy us completely,” she wrote on July 3, 1942. “Today I am filled with despair.” Over time, however, her perspective changed. “I really see no other solution than to turn inward and to root out all the rotteness there.” One day, with the “sound of fire, shooting, bombs” raging outside,

Etty listened to a Bach recording and wrote: “I know and share the many sorrows a human being can experience, but I do not cling to them; they pass through me, like life itself, as a broad eternal stream . . . and life continues. . . . If you have given sorrow the space that its gentle origins demand, then you may say that life is beautiful and so rich . . . that it makes you want to believe in God.”

umbrella and the eastern half subject to Soviet control. It was clear that Europe’s dominance in world affairs was finished.

Over the next two decades, Europe’s greatly diminished role in the world registered internationally as its Asian and African colonies achieved independence. Not only had the war weakened both the will and the ability of European powers to hold onto their colonies, but it had also emboldened nationalist and anticolonial movements everywhere (see Chapter 22). Japanese victories in Southeast Asia had certainly damaged European prestige, for British, Dutch, and American military forces fell to Japanese conquerors, sometimes in a matter of weeks. Japanese authorities staged long and brutal marches of Western prisoners of war, partly to drive home to local people that the era of Western domination was over. Furthermore, tens of thousands of Africans had fought for the British or the French, had seen white people die, had enjoyed the company of white women, and had returned home with very different

Meanwhile Etty had fallen deeply in love with her fifty-five-year-old German Jewish therapist, Julius Spier. She worried that she was becoming overly dependent on a man, particularly since she was already sleeping with another man in whose house she lived. Yet, Spier had become her spiritual companion and mentor as well as her lover. When he died in September 1942, she was devastated but determined to go on. “You were the mediator between God and me,” she wrote of Spier, “and now you have gone and my path leads straight to God.”

Eventually, Etty found herself in Westerbork, a transit camp for Dutch Jews awaiting transport to the east and almost certain death. Friends had offered to hide her, but Etty insisted on voluntarily entering the camp, where she operated as an unofficial social worker and sought to become the “thinking heart of the barracks.” In the camp, panic erupted regularly as new waves of Jews were transported east. Babies screamed as they were awakened to board the trains. “The misery here is really indescribable,” she wrote in mid-1943. “People live in those big barracks like so many rats in a sewer.”

Even there, however, Etty’s emerging inner life found expression. “Late at night . . .” she wrote, “I often walk with a spring in my step along the barbed wire. And then time and again, it soars straight from my heart . . . the feeling that life is glorious and magnificent and that one day

we shall be building a whole new world. Against every new outrage and every fresh horror, we shall put up one more piece of love and goodness, drawing strength from within ourselves. We may suffer, but we must not succumb.”

Etty’s transport came on September 7, 1943, when she, her parents, and a brother boarded a train for Auschwitz. Her parents were gassed immediately upon arrival, and Etty followed on November 30. Her last known writing was a postcard tossed from the train as it departed Westerbork. It read in part: “I am sitting on my rucksack in the middle of full freight car. Father, mother, and Mischa [her brother] are a few cars behind. . . . We left the camp singing.”

Etty Hillesum’s public life, like that of most people, left little outward mark on the world she briefly inhabited, except for the small circle of her friends and family. But the record of her inner life, miraculously preserved, remains among the greatest spiritual testaments to emerge from the horrors of the Nazi era, a tribute to the possibilities of human transformation, even amid the most horrendous of circumstances.

Questions: In what ways did Etty experience the Nazi phenomenon? How might you assess Etty’s interior response to the Nazis? Was it a “triumph of the human spirit” or an evasion of the responsibility to resist evil more directly?

ideas about white superiority and the permanence of colonial rule. Colonial subjects everywhere were very much aware that U.S. President Franklin Roosevelt and British Prime Minister Winston Churchill had solemnly declared in 1941 that “we respect the right of all peoples to choose the form of government under which they will live.” Many asked whether those principles should not apply to people in the colonial world as well as to Europeans.

A further outcome of World War II lay in the consolidation and extension of the communist world. The Soviet victory over the Nazis, though bought at an unimaginable cost in blood and treasure, gave immense credibility to that communist regime and to its leader, Joseph Stalin. In the decades that followed, Soviet authorities nurtured a virtual cult of the war: memorials were everywhere; wedding parties made pilgrimages to them, and brides left their bouquets behind; May 9, Victory Day, saw elaborately orchestrated celebrations; veterans were honored and granted modest

PORTRAIT

Anna Dubova, A Russian Peasant Girl and Urban Woman

Born into a large peasant family near Smolensk in western Russia in 1916, Anna Dubova lived through the entire communist experience of her country.⁹ Hers was a life that illustrates the complexities that ordinary individuals faced as they sought to navigate the communist system.

Anna was one of fourteen children, of whom seven survived. Her family was dominated by a strict, hard-working, and highly religious father, who was choirmaster of the local church. Anna's father was suspicious of the communists when they came to power the year after Anna's birth, but her grandmother was more forthright. "We have a new tsar," she declared. "The forces of the Anti-christ have triumphed." Nonetheless, her father accepted an appointment in 1922 as chairman of the village soviet, the new communist organ of local government. During the 1920s, the village and Anna's family flourished under Lenin's New Economic Policy, which briefly permitted a considerable measure of private enterprise and profit making. Her father even opened a



A 1941 image of a woman factory worker in Moscow. (Eon Images)

small shop in the village where he sold goods purchased in the city.

By 1928, however, everything changed as the Soviet regime, now under Joseph Stalin's leadership, abruptly moved to collectivize agriculture and root out *kulaks*, supposedly wealthy peasants who were thought to bear the germ of a hated capitalism. Because of her father's shop, the family was labeled as *kulak*, their property confiscated. "I remember so well how Mama sat and cried when they took away the cow," Anna recalled years later. The family forestalled their expected deportation to the far north of the Soviet Union only by promising Anna, then just thirteen, in marriage to the local Communist Party secretary. The marriage never took place, however, and the family was forced to leave.

Later, Anna was permitted to join her older sister in Moscow, but approval for that much-coveted move came at a very high price. "I had to write out an official statement that I renounced my parents, that I no longer had any ties with them."

Communist Party who allegedly had been corrupted by bourgeois ideas. Refracted through the lens of Marxist thinking, these people became class enemies who had betrayed the revolution and were engaged in a vast conspiracy, often linked to foreign imperialists, to subvert the socialist enterprise and restore capitalism. In the rhetoric of the leadership, the class struggle continued and even intensified as the triumph of socialism drew closer.

In the Soviet Union, that process culminated in the Terror, or the Great Purges, of the late 1930s, which enveloped tens of thousands of prominent communists, including virtually all of Lenin's top associates, and millions of more ordinary people. (See Document 21.4, pp. 1075–78, and the Portrait of Anna Dubova, above, for individual experiences of the Terror.) Based on suspicious associations in the past, denunciations by colleagues, connections to foreign countries, or simply bad luck,

Thus Anna, a rural teenager, joined millions of other peasants who flocked to the city to pursue new opportunities that became available as the Soviet Union launched its industrialization drive. In Moscow, she gained a basic education, a vocation in cake decorating, which she enjoyed, and a brief stint as a mechanic and chauffeur, which she detested. All the while the shadow of her *kulak* label followed her. Had it been discovered, she could have lost her job and her permission to live in Moscow. And so she married a party activist from a poor peasant family, "just so I could cover up my background." Her husband drank heavily, leaving her with a daughter when he went off to war in 1941.

In the Soviet Union, the late 1930s witnessed the Terror when millions of alleged "enemies of the people" were arrested and hauled off to execution or labor camps. Anna recalled what it was like: "You'd come home and they'd say, Yesterday they took away Uncle Lesha. . . . You'd go to see a girlfriend, they'd say, We have an empty room now; they've exiled Andreitsev." Like most people not directly involved, Anna believed in the guilt of these people. And she feared that she herself might be mistakenly accused, for those with a *kukak* label were particular targets of the search for enemies. "I was only afraid," she remembered, "that I would be raped in prison."

Beyond her *kulak* background, Anna also felt compelled to hide a deep religious sensibility derived from her child-

hood. She remembered the disappearance of the village priest, the looting of the churches, and the destruction of icons. And so she never entered a church or prayed in front of others. But she wore a cross under her clothing. "I never stopped [believing]," she recalled. "But I concealed it. Deep down . . . I believed." Nor did she ever seek to join the Communist Party, though it may well have advanced her career prospects and standard of living. Perhaps she feared that the investigations accompanying party membership would have disclosed her compromising social and religious background.

In the decades following World War II and especially after Stalin's death in 1953, Anna's life seemed to stabilize. She entered into a thirty-year relationship with a man and found satisfying work in a construction design office, though the lack of higher education and party connections prevented her from moving into higher-paid jobs. Looking back on her life, she regretted the communist intrusion, particularly in its Stalinist phase, into what she remembered as a happy childhood. She had come to value, perhaps nostalgically, the life of a peasant, where "I would have lived on the fruits of my labor," over that of an urban worker, where, she felt, "I've lived someone else's life."

Question: In what ways did communism shape Anna's life, and in what respects was she able to construct her own life within that system?

such people were arrested, usually in the dead of night, and then tried and sentenced either to death or to long years in harsh and remote labor camps known as the gulag. A series of show trials publicized the menace that these "enemies of the people" allegedly posed to the country and its revolution. Close to 1 million people were executed between 1936 and 1941. Perhaps an additional 4 or 5 million were sent to the gulag, where they were forced to work in horrendous conditions and died in appalling numbers. Victimized too were numerous: the Terror consumed the energies of a huge corps of officials, investigators, interrogators, informers, guards, and executioners, many of whom themselves were arrested, exiled, or executed in the course of the purges.

In the Soviet Union, the search for enemies occurred under the clear control of the state. In China, however, it became a much more public process, escaping the control

PORTRAIT

Abdul Ghaffar Khan, Muslim Pacifist



Abdul Ghaffar Khan. (From *Filmstrip Gandhi Smarak Sangrahalaya Samiti, Bombay*)

environment of early twentieth-century India, it soon meant anti-colonial politics as well.

Deeply impressed with Gandhi's message of nonviolent protest (see pp. 1094–96), in 1929 Abdul Khan established the *Khudai Khidmatgar* or “Servants of God” movement in his home region. Committed to nonviolence, social reform, the unity of the Pathan people, and the independence of India, the *Khudai Khidmatgar* soon became affiliated

with the Indian National Congress, led by Gandhi, which was the leading nationalist organization in the country. During the 1930s and early 1940s, Abdul Khan's movement gained a substantial following in the Frontier Province, becoming the dominant political force in the area. Moreover it largely adhered to its nonviolent creed in the face of severe British oppression and even massacres. In the process, Abdul Khan acquired a prominent place beside Gandhi in the Congress Party and an almost legendary status in his own Frontier region. His imposing 6'3" stature, his constant touring of Pathan villages, his obvious commitment to Islam, his frequent imprisonment by the

British—all of this fostered a saintly image of the Pathan leader. The wells he drank from were thought to cure diseases. He became Badshah Khan (the king of khans) or the Frontier Gandhi.

It was a remarkable achievement. Both Gandhi and his close associate Nehru were astonished that “Abdul Gaffar Khan made his turbulent and quarrelsome people accept peaceful methods of political action, involving enormous suffering.”⁹ In large measure it had happened because Abdul Khan was able to root nonviolence in both Islam and Pathan culture. In fact, he had come to nonviolence well before meeting Gandhi, seeing it as necessary for overcoming the incessant feuding of his Pathan people. The Prophet Muhammad's mission, he declared, was “to free the oppressed, to feed the poor, and to clothe the naked.”¹⁰ Nonviolent struggle was a form of *jihad* or Islamic holy war, and the suffering it generated was a kind of martyrdom. Furthermore, he linked nonviolent struggle to Pathan male virtues of honor, bravery, and strength.

By the mid-1940s, however, Pathan Muslims increasingly favored a separate state (Pakistan) rather than an alliance with Hindus in a unified India, as Gandhi and Abdul Khan so fervently hoped. Abdul Khan's political critics stigmatized him as “Hindu,” while many orthodox Islamic scholars viewed his more inclusive and nonviolent view of Islam as a challenge to their authority and their understanding

of the faith. When the Congress finally and reluctantly accepted the partition of India into two states, Abdul Khan felt betrayed. “You have thrown us to the wolves,” he said.

Despite his deep disappointment about partition and the immense violence that accompanied it, Abdul Khan declared his allegiance to Pakistan. But neither he nor his Servants of God followers could gain the trust of the new Pakistani authorities, who refused to recognize their role as freedom fighters in the struggle against colonial rule. His long opposition to the creation of Pakistan made his patriotism suspect; his advocacy of Pathan unity raised fears that he was fostering the secession of that region; and his political liberalism and criticism of Pakistani military governments generated suspicions that he was a communist. Thus he was repeatedly imprisoned in Pakistan and in conditions far worse than he had experienced in British jails. He viewed Pakistan as a British effort at divide and rule, “so that the Hindus and the Muslims might forever be at war and forget that they were brothers.”¹¹

Until his death in 1988 at the age of 98, he held firmly to his Islam-based nonviolent beliefs. But like Gandhi, he was far more widely admired than he was imitated.

Questions: Why do you think Abdul Khan is generally unknown? Where does he fit in the larger history of the twentieth century?

colonial intruders. They had unsuccessfully sought independence from a British-ruled South Africa in a bitter struggle (the Boer War, 1899–1902), and a sense of difference and antagonism lingered. Despite continuing hostility between white South Africans of British and Afrikaner background, both felt that their way of life and standard of living were jeopardized by any move toward black African majority rule. The intransigence of this sizable and threatened settler community helps explain why African rule was delayed until 1994, while India, lacking any such community, had achieved independence almost a half century earlier.

Unlike a predominantly agrarian India, South Africa by the early twentieth century had developed a mature industrial economy, based initially in gold and diamond mining, but by midcentury including secondary industries such as steel, chemicals, automobile manufacturing, rubber processing, and heavy engineering. Particularly since the 1960s, the economy benefited from extensive foreign investment and loans.

Almost all black Africans were involved in this complex modern economy, working in urban industries or mines, providing labor for white-owned farms, or receiving payments from relatives who did. The extreme dependence of most Africans on the white-controlled economy rendered individuals highly vulnerable to repressive action, but collectively the threat to withdraw their essential labor also gave them a powerful weapon.

A further unique feature of the South African situation was the overwhelming prominence of race, expressed since 1948 in the official policy of apartheid, which attempted to separate blacks from whites in every conceivable way while retaining Africans' labor power in the white-controlled economy. An enormous apparatus of repression enforced that system. Rigid “pass laws” monitored and tried to control the movement of Africans into the cities, where they were subjected to extreme forms of social segregation. In the rural areas, a series of impoverished and overcrowded

PORTRAIT

Rachel Carson, Pioneer of Environmentalism



Rachel Carson
(© Post-Gazette/ZUMA Press/Corbis)

“Over increasingly large areas of the United States, spring now comes unheralded by the return of the birds, and the early mornings are strangely silent.”²⁶ This was the appalling vision that inspired *Silent Spring*, a book that effectively launched the American environmental movement in 1962 with its devastating critique of unregulated pesticide use. Its author, Rachel Carson, was born in 1907 on a farm near Pittsburgh. Her childhood interest in nature led to college and graduate studies in biology and then a career as a marine biologist with the U.S. Department of Fisheries, only the second woman hired for such a position. She was also finding her voice as a writer, penning three well-received books on the ecology of the sea.

Through this work, Carson gained an acute awareness of the intricate and interdependent web of life, but she assumed that “much of nature was forever beyond the tampering hand of man.” However, the advent of the atomic age, with the dramatic bombings of Hiroshima and Nagasaki in 1945, shook her confidence that nature was immune to human action, and she began to question the

widely held assumption that science always held positive outcomes for human welfare. That skepticism gradually took shape around the issue of pesticides and other toxins deliberately introduced into the environment in the name of progress. In 1958 a letter from a friend describing the death of birds in her yard following aerial spraying for mosquito control prompted Carson to take on a project that became *Silent Spring*. Initially she called it “man against the earth.”

From government agencies, independent scientists, public health specialists, and her own network of contacts, Carson began to assemble data about the impact of pesticides on natural ecosystems and human health. While she never called for

their complete elimination, she argued for much greater care and sensitivity to the environment in employing chemical pesticides. She further urged natural biotic agents as a preferable alternative for pest control. The book also criticized the government regulatory agencies for their negligent oversight and scientific specialists for their “fanatical zeal” to create “a chemically sterile insect-

free world.” Chemical companies, she wrote, gave out only “little tranquilizing pills of half-truth” when confronted with evidence of their products’ harmful results. While she worked hard to ensure the book’s scientific credentials, it was a passionate work, fueled by Carson’s “anger at the senseless brutish things that were being done.” It was also a book written under growing personal difficulties. Her mother, for whom she had long been a caretaker, died in 1958, while Carson’s own health too deteriorated as cancer and other ailments took their toll.

When *Silent Spring* finally published in 1962, the book provoked a firestorm of criticism. Velsicol, a major chemical company, threatened a lawsuit to prevent its publication. Critics declared that following her prescriptions would mean “the end of all human progress,” even a “return to the Dark Ages [when] insects and diseases and vermin would once again inherit the earth.” Some of the attacks were more personal. Rachel Carson had never married, and Ezra Taft Benson, a former secretary of agriculture, wondered “why a spinster with no children was so concerned about genetics,” while opining that she was “probably a communist.” It was the height of the cold war era, and challenges to government agencies and corporate capitalism were often deemed “un-American” and “sinister.”

Carson evoked such a backlash because she had called into question the whole idea of science as progress, so central to Western culture since the Enlightenment. Humankind had acquired the power to “alter the very nature of

the [earth’s] life,” she declared. The book ended with a dire warning: “It is our alarming misfortune that so primitive a science has armed itself with the most modern and terrible weapons, and that in turning them against the insects, it has also turned them against the earth.”

But Carson also had a growing number of enthusiastic supporters. Before she died in 1964, she witnessed the vindication of much of her work. Honors and awards poured in; she more than held her own against her critics in a CBS News program devoted to her book; and a presidential Science Advisory Committee cited Carson’s work while recommending the “orderly reduction of persistent pesticides.” Following her death, a range of policy changes reflected her work including the creation of the Environmental Protection Agency in 1970 and the banning of the insecticide DDT in 1973. *Silent Spring* also motivated many to join the growing array of environmentalist groups.

Approaching her death, Carson applied her ecological understanding of the world to herself as well. In a letter to her best friend not long before she died, she recalled seeing some monarch butterflies leaving on a journey from which they would not return. And then she added: “When the intangible cycle has run its course, it is a natural and not unhappy thing that a life comes to an end.”

Question: In what larger contexts might we understand Rachel Carson and the book that gained her such attention?

did the “wilderness idea,” which aimed to preserve untouched areas from human disruption, as, for example, in the U.S. national parks.²⁷ None of these strands of environmentalism attracted a mass following or provoked a global response. Not until the second half of the twentieth century, and then quite rapidly, did environmentalism achieve a worldwide dimension, although it was expressed in many quite different ways.

This second-wave environmentalism began in the West with the publication in 1962 of Rachel Carson’s *Silent Spring*, exposing the chemical contamination of the environment. (See the Portrait of Rachel Carson, above.) Here, as virtually everywhere else, the impetus for action came from the grass roots and citizen protest. By the early 1990s, some 14 million Americans—one in seven adults—had joined one of the many environmental organizations. In Europe, the Club of Rome, a global

think tank, issued a report in 1972 called *Limits to Growth*, which warned of resource exhaustion and the collapse of industrial society in the face of unrelenting economic growth. The German environmental movement was distinctive in that its activists directly entered the political arena as the Green Party, with a focus on opposition to nuclear energy. Beyond addressing environmental pollution, Western activists focused much attention on wilderness issues, opposing logging, road building, and other development efforts in remaining unspoiled areas.

Quite quickly, during the 1970s and 1980s, environmentalism took root in the developing countries as well. There it often assumed a different character: it was more locally based and had fewer large national organizations than in the West; it involved poor people rather than affluent members of the middle class; it was less engaged in

■ **Comparison**
What differences emerged between environmentalism in the Global North and that in the Global South?